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|  | 兴银理财金雪球稳利1号B款净值型理财产品2021年年度报告 | | | | | |  |
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|  | **兴银理财金雪球稳利1号B款净值型理财产品 2021年年度报告** | | | | | |  |
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|  |  | 理财产品管理人：兴银理财有限责任公司 | | | |  |  |
|  |  | 理财产品托管人：兴业银行股份有限公司 | | | |  |  |
|  |  | 报告送出日期：2022年5月11日 | | | |  |  |
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|  |  |  |  | **目 录** | |  |  |  |  |
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|  |  | § 一. 重要提示 § 二. 产品基本信息 § 三. 产品收益表现 § 四. 产品投资经理简介 § 五. 报告期内产品投资策略 § 六. 理财托管机构报告 § 七. 审计报告 § 八. 投资组合情况  1. 报告期末资产组合情况  2. 报告期末杠杆融资情况  3. 投资组合的流动性风险分析  4. 报告期末资产持仓前十基本信息  5. 报告期间关联交易情况  6. 投资账户信息 | | | | | |  |  |
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|  | **§ 一. 重要提示** | | | | |  |
|  |  |  |  |  |  |  |
|  | 1. 温馨提醒：理财非存款，产品有风险，投资需谨慎！  2. 理财信息可供参考，详情请咨询理财经理，或在“中国理财网（www.chinawealth.com.cn）”查询该产品相关信息。  3. 兴银理财有限责任公司保留对所有文字说明的最终解释权。  4. 投资组合情况（期末资产组合情况、杠杆比例、资产前十持仓等）详情请理财持有人登录网银后进行查询。 | | | | |  |
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|  | **§ 二. 产品基本情况** | | | | |  |
|  |  |  |  |  |  |  |
|  | 产品名称 | | 兴银理财金雪球稳利1号B款净值型理财产品 | | |  |
|  | 产品代码 | | 9C212002 | | |  |
|  | 全国银行业理财信息登记系统登记编码 | | Z7002020000080 | | |  |
|  | 产品运作方式 | | 开放式 | | |  |
|  | 产品募集方式 | | 公募 | | |  |
|  | 投资类型 | | 固定收益类 | | |  |
|  | 报告期末产品份额总额 | | 16,033,347,226.63份 | | |  |
|  | 业绩比较基准 | | -- | | |  |
|  | 投资币种 | | 人民币 | | |  |
|  | 风险等级 | | R2 | | |  |
|  | 产品管理人 | | 兴银理财有限责任公司 | | |  |
|  | 产品托管人 | | 兴业银行股份有限公司 | | |  |
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|  | **§ 三. 产品收益表现** | | | | | | | | |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | 产品9C212002自成立日以来，累计净值增长率为5.2480%，年化累计净值增长率为4.0756%。 报告期末，产品净值表现具体如下： | | | | | | |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | **估值日期** | **产品份额净值** | | **产品累计净值** | | | **产品资产净值** | |  |  |
|  | 2021年12月31日 | 1.05248 | | 1.05248 | | | 16,874,855,675.23 | |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | **§ 四. 产品投资经理简介** | | | | | | | | |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | 周伟君先生，现任兴银理财专户投资部投资经理，浙江大学经济学硕士，FRM，7年固定收益投资经验。历任浙商银行债券投资经理、ABS投资经理。2020年加入兴银理财，负责企金“陆陆发”及多款专户产品管理。擅长信用债和ABS投资价值挖掘，注重衡量各类投资标的风险收益比，善于在关键时点做逆向投资。 | | | | | | | | |  |
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|  | **§ 五. 报告期内产品的投资策略和运作分析** | | | | | | | | |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  | 一、市场观点  债券市场受资金面波动和供给错位等多方面影响，2021年国内债市主线是融资收缩背景下结构性资产荒驱动债市走出一轮小牛市。  上半年债市看空做多，呈现震荡下行态势。1月初，央行跨年维稳，收益率震荡下行；1月中旬至春节前，央行OMO缩量，资金市场“钱荒”再现，叠加海外通胀加剧，债市剧烈调整，市场一致预期后期走势不容乐观。春节后，资金面超预期稳定、经济复苏趋缓、地方债发行滞后，利空因素低于预期，机构欠配下市场“看空做多”，收益率整体下行；6月初地方债供给提速，资金面小幅收敛，部分机构止盈离场，收益率触底上行。  下半年债市多空因素交织，两轮降准驱动债券市场向下突破。7月初央行意外降准，带动收益率出现一轮显著下行，10年国债一度下探至2.79%年度低点。2021年8月监管机构对理财产品使用摊余成本法计量作出窗口指导，要求存量理财产品在10月底完成整改，信用债受此冲击自9月开始下跌，加上9月PPI刷新近年来高位刷新纪录、央行官员提及十年国债处于偏低位置，市场降准预期明显减弱，利率债在四季度初开始加速下跌，10年国债收益率自四季度初的2.87%大幅上行至3.05%一线，信用债收益率普遍从8月底低位上行20-40bp。  随后央行行长易纲表示PPI将在年内走低，经济高频数据显示经济下行压力加大以及宽松资金面的助推下，市场收益率重回下行通道。12月央行再度降准、降息，经济稳增长压力凸显，债券收益率再度向下突破，收益率水平一路下行至年内低位。  展望明年一季度，对于债券市场，我们整体保持中性略偏乐观的态度。刚刚结束的中央经济工作会议提出当前经济面临“需求收缩、供给冲击、预期转弱”三重压力。在防疫措施与居民收入增速放缓的背景下，消费仍难快速恢复，出口在替代效应减弱以及高基数的影响下对经济拉动作用将减弱，房地产产业链压力不减，政策重心在明年一季度仍将是稳增长。政策基调仍可能是宽货币、宽信用，历史上双宽政策周期中债券表现强的概率较高。考虑到明年一季度美联储加息可能性不高，国内货币政策仍处于宽松窗口，我们认为债券市场仍有参与机会。  二、2021年运作回顾  开放式产品运作回顾： | | | | | | | | |  |
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|  | 上半年资金面宽松带动收益率下行，开放式产品采取提升久期、适度加杠杆的积极策略，票息打底的同时获取资本利得，产品表现较为优异。6月市场回调后，止盈利差压缩到极致的品种，降低产品实际久期。  下半年，受监管要求银行理财整改摊余成本估值影响，开放式产品积极调整投资策略，增配货币市场工具等低波动资产和利率互换工具，降低产品波动幅度。 同时密切跟踪债券市场走势，抓住震荡行情中的右侧机会，通过NCD和高等级信用债进行波段交易，获取超额收益，形成了开放式公募产品向下有底，向上有弹性的收益特征。  三、2022年一季度投资策略  展望一季度，产品将继续保持稳健的投资风格：  1、发挥票息策略优势，同时维持中性偏积极的杠杆水平与中性偏积极的组合久期。  2、开放式产品优化资产配置结构，估值整改背景下控制产品收益波动。  3、专户产品保持久期中性，积极运用杠杆策略，把握行业利差、区域利差变化的收益挖掘机会和利率反弹时的配置机会。  4、关注货币政策边际变化和稳增长措施落地带来的交易机会。 | | | | |  |  |
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|  | **§ 六. 理财托管机构报告** | | | | |  |  |
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|  |  | 报告期内，托管人严格遵守《商业银行理财业务监督管理办法》及相关法律法规规定、理财产品托管协议约定，诚实信用、谨慎勤勉地履行了托管人义务，不存在损害理财产品投资者利益的行为。  报告期内，托管人根据国家有关法律法规规定、理财产品托管协议的约定，对管理人在本理财产品的投资运作、资产净值的计算、收益的计算、理财产品费用开支等方面进行了必要的监督、复核和审查，未发现其存在任何损害本理财产品投资者利益的行为。  托管人认真复核了本报告中的净值表现、投资组合报告等内容，认为其真实、准确和完整，不存在虚假记载、误导性陈述或者重大遗漏。 | | | | |  |
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|  | **§ 七. 审计报告** | | | | |  |  |
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|  | 本产品聘请毕马威会计师事务所（特殊普通合伙）上海分所依据中国注册会计师审计准则对本产品的财务报表，包括2021年12月31日的资产负债表，2021年度的利润表和理财产品净值变动表以及相关财务报表附注进行了审计，并出具审计报告。 | | | | |  |  |
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|  | **§ 八. 投资组合情况** | | | | |  |  |
|  |  |  |  |  |  |  |  |
|  |  | **1.报告期末产品资产组合情况** | | | | |  |
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|  |  | |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | | |  | | --- | | **序号** | | |  | | --- | | **资产类型** | | |  | | --- | | **直接投资占比（%）** | | |  | | --- | | **间接投资占比（%）** | | | |  | | --- | | 1 | | |  | | --- | | 现金及存款 | | |  | | --- | | 13.47 | | |  | | --- | | 23.05 | | | |  | | --- | | 2 | | |  | | --- | | 买入返售金融资产 | | |  | | --- | | 0.00 | | |  | | --- | | 2.28 | | | |  | | --- | | 3 | | |  | | --- | | 债券投资 | | |  | | --- | | 73.61 | | |  | | --- | | 74.29 | | | |  | | --- | | 4 | | |  | | --- | | 公募基金 | | |  | | --- | | 0.00 | | |  | | --- | | 0.38 | | | |  | | --- | | 5 | | |  | | --- | | 委外投资 | | |  | | --- | | 12.92 | | |  | | --- | | 0.00 | | | |  | | --- | |  | | |  | | --- | | 总计 | | |  | | --- | | 100.00 | | |  | | --- | | 100.00 | | | | | | |  |
|  |  |  |  |  |  |  |  |
|  | **2.报告期末杠杆融资情况** | | | | |  |  |
|  | 报告期末本产品总资产未超过该产品净资产规模的140%，符合产品协议对本产品杠杆比例的要求。 | | | | |  |  |
|  | **3.投资组合的流动性风险分析** | | | | |  |  |
|  | 流动性风险是指产品在履行与金融负债有关的义务时遇到资金短缺的风险。本产品的流动性风险一方面来自理财份额持有人可在约定的开放日提出赎回其持有的理财份额，另一方面来自于若投资品种所处的交易市场不活跃，可能带来资产变现困难或产品持仓资产在市场出现剧烈波动的情况下难以以合理价格变现的风险。  为防范无法支付赎回款而产生的流动性风险，本理财产品管理人将合理安排所投资产期限，持续根据市场变化情况做好投资安排，尽可能降低产品流动性风险，有效保障理财持有人利益。  报告期内，本理财产品管理人严格遵守相关法律法规以及产品销售协议，对理财产品组合资产的流动性风险进行管理，报告期内未发生流动性风险。 | | | | |  |  |
|  |  |  |  |  |  |  |  |
|  | **4.报告期末资产持仓前十基本信息** | | | | |  |  |
|  | |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | | |  | | --- | | **序号** | | |  | | --- | | **资产名称** | | |  | | --- | | **资产规模** | | |  | | --- | | **占产品资产净值的比例（%）** | | | |  | | --- | | 1 | | |  | | --- | | 21CSFD159 | | |  | | --- | | 1,350,000,000.00 | | |  | | --- | | 8.00 | | | |  | | --- | | 2 | | |  | | --- | | 光大永明资产-永聚固收124号资产管理产品 | | |  | | --- | | 1,224,058,800.12 | | |  | | --- | | 7.25 | | | |  | | --- | | 3 | | |  | | --- | | 21CSFD181 | | |  | | --- | | 1,000,000,000.00 | | |  | | --- | | 5.93 | | | |  | | --- | | 4 | | |  | | --- | | 新华资产-明远四号资产管理产品 | | |  | | --- | | 714,365,398.32 | | |  | | --- | | 4.23 | | | |  | | --- | | 5 | | |  | | --- | | 中国光大银行郑州分行大额存单20210330001 | | |  | | --- | | 500,000,000.00 | | |  | | --- | | 2.96 | | | |  | | --- | | 6 | | |  | | --- | | 中国工商银行安顺分行大额存单20210329002 | | |  | | --- | | 500,000,000.00 | | |  | | --- | | 2.96 | | | | | | |  |  |
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|  |  |  |  |  |  |  |
|  | **5.报告期间关联交易情况** | | | | |  |
|  |  | 5.1 理财产品在报告期末投资关联方发行、承销的证券的情况 | | | |  |
|  | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  | | --- | --- | | **产品代码** |  | | |  | | --- | | **资产名称** | | |  | | --- | | **资产面额（元）** | | |  | | --- | | **承销商/发行人** | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 19鲁黄金MTN008 | | |  | | --- | | 10,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 20陕有色MTN001 | | |  | | --- | | 10,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21云能投SCP013 | | |  | | --- | | 20,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21建发集MTN002 | | |  | | --- | | 10,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21桂投资SCP005 | | |  | | --- | | 10,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21溧水城建CP003 | | |  | | --- | | 20,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21靖江城投CP001 | | |  | | --- | | 10,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 18市北高新MTN002 | | |  | | --- | | 30,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 19海宁资产MTN001 | | |  | | --- | | 10,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 19鄂州城投MTN002 | | |  | | --- | | 20,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 19阜阳建投PPN001 | | |  | | --- | | 10,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 20宿迁经开MTN001 | | |  | | --- | | 10,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 20武夷投资MTN002 | | |  | | --- | | 40,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 20水发集团MTN001 | | |  | | --- | | 20,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 20电建地产PPN001 | | |  | | --- | | 50,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 20连云城建MTN002 | | |  | | --- | | 20,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 20镇江城建MTN001 | | |  | | --- | | 50,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | | | | |  |
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|  | 兴银理财金雪球稳利1号B款净值型理财产品2021年年度报告 | | | | |  |
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|  | |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21南京医药MTN001 | | |  | | --- | | 30,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21宿迁交通SCP002 | | |  | | --- | | 10,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21新余城建MTN001 | | |  | | --- | | 20,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21新余城建MTN002 | | |  | | --- | | 10,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21椒江国资MTN001 | | |  | | --- | | 20,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21汉江国资MTN005 | | |  | | --- | | 30,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21珠海港股SCP012 | | |  | | --- | | 10,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21盐城海兴PPN003 | | |  | | --- | | 5,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21胶州城投MTN002 | | |  | | --- | | 10,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21舟山交投SCP002 | | |  | | --- | | 10,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21重南新城PPN001 | | |  | | --- | | 10,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21陕投集团MTN004 | | |  | | --- | | 20,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21陕投集团MTN007 | | |  | | --- | | 50,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21高淳经开MTN002 | | |  | | --- | | 20,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21长三角ABN001优先A | | |  | | --- | | 81,830,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21前海新居ABN001优先 | | |  | | --- | | 17,800,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 19中国华融债01(品种一) | | |  | | --- | | 40,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21长兴建投MTN001(乡村振兴) | | |  | | --- | | 20,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21湖南农业MTN001（乡村振兴） | | |  | | --- | | 20,000,000.00 | | |  | | --- | | 兴业银行股份有限公司 | | | | | | |  |
|  |  |  |  |  |  |  |
|  |  | 5.2 理财产品在报告期内其他关联交易 | | | |  |
|  | |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  | | --- | --- | | **产品代码** |  | | |  | | --- | | **资产名称** | | |  | | --- | | **资产面额（元）** | | |  | | --- | | **交易类型** | | |  | | --- | | **关联方名称** | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21兴资01 | | |  | | --- | | 20,000,000.00 | | |  | | --- | | 卖出 | | |  | | --- | | 兴业资产管理有限公司 | | | |  |  | | --- | --- | | 9C212002 |  | | |  | | --- | | 21兴资01 | | |  | | --- | | 20,000,000.00 | | |  | | --- | | 买入 | | |  | | --- | | 兴业资产管理有限公司 | | | | | | |  |
|  |  |  |  |  |  |  |
|  |  | 5.3 理财产品在报告期内中的重大关联交易 | | | |  |
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|  | 兴银理财金雪球稳利1号B款净值型理财产品2021年年度报告 | | | | |  |  |
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|  | |  |  |  | | --- | --- | --- | | |  |  | | --- | --- | | 无 |  | | | | | | |  |  |
|  |  |  |  |  |  |  |  |
|  |  | **6.投资账户信息** | | | | |  |
|  |  | |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | | |  | | --- | | **序号** | | |  | | --- | | **账户类型** | | |  | | --- | | **账户编号** | | |  | | --- | | **账户名称** | | | |  | | --- | | 1 | | |  | | --- | | 托管账户 | | |  | | --- | | 051010100101029637 | | |  | | --- | | 兴银理财金雪球稳利1号B款 | | | | | | |  |
|  |  |  |  |  |  |  |  |
|  | 兴银理财有限责任公司 | | | | |  |  |
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